PTO-1390 (Rev 02-2005

Approved for use through 3/31/2007
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paneowork Reduction Act of 1995 a valid OMB control number TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER OGOSH60USA DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2005/002209 1 March 2004 15 February 2005 TITLE OF INVENTION SPUTTERING TARGET WITH FEW SURFACE DEFECTS. AND SURFACE PROCESSING METHOD THEREOF APPLICANT(S) FOR DO/EO/US Yuichiro Nakamura and Akira Hisano Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4 🛛 The US has been elected (Article 31) 5. 🛛 A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🛛 is attached hereto (required only if not communicated by the International Bureau). ь□ has been communicated by the International Bureau. c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). ი ⊠ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a 🗵 is attached hereto. ь. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7 🗀 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a 🗆 are attached hereto (required only if not communication by the International Bureau). ь. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d  $\square$ have not been made and will not be made. 8. 🗆 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9 🗵 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗆 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13 🖾 First Preliminary Amendment, 14. 🖾 An Application Data Sheet under 37 CFR 1.76. 15 🗆 A substitute specification. 16. 🖾 A power of attorney and/or change of address letter. -(combined with Declaration, see above)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or rotain a benefit by the public, which is to title (and by the USFF10 incorporation application. Confidentially is generally 38 US C 122 and 37 CFR 1.11 and 11.4. This collection is estimated to take 15 multies to complete, including genthering information propriets in the propriets of the one of the USFF1. The way stay depending upon the individual case, but commission to the round of time just required to complete this form and/or suggestions for reducing the butdon, should be set to time Chief information officer. U.S. Priets and Transferrant Officer. U.S. Department of Commission for the Priets, P.O. Department of Commission for the Priets of Commission for the Priets of Commission for the Priets of Commission for the Pr

Applicant's Reply to Written Opinion: International Preliminary Examination Report:

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Verification of Translation; International Search Report, PCT Written Opinion;

Notification of the Recording of a Change

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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Office use only

Calculations

\$300.00

\$ 200.00

\$400.00

\$ 900.00

ATTORNEY'S DOCKET NUMBER

OGOSH60USA

21. Basic national fee......\$300.00

All other situations.....\$200

All other situations.....\$500

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is

Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared and provided to the Office......\$400

If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....\$100

INTERNATIONAL APPLICATION NO.

PCT/JP2005/002209

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

The following fees have been submitted

TOTAL OF 21, 22 and 23 =

\$250 for each additional 50 sheets of paper or faction thereof.

22. Examination fee

23. Search fee

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE				
15 - 100	/50=			×	\$250			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$		
CLAIMS	NUMBER F			RATE		\$		
Total daims 4 - 20				×	\$50	\$		
Independent Claims 2 - 3				×	\$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$		
TOTAL OF ABOVE CALCULATIONS =						\$ 0.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$		
SUBTOTAL =						\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(ft)).								
earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE =						\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be						\$		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +						•		
=								
Amount to be refunded: Amount to be charged							\$	
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a. A check in the amount of \$to cover the above fees is enclosed.								
<ul><li>b.   Please  A dupli</li></ul>	Please charge my Deposit Account No. <u>08-3040</u> in the amount of \$ <u>900.00</u> to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. Market The Co	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3040</u> . A duplicate copy of this sheet is enclosed.							
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:								
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FORM PTO-1390 (REV 02-2005) page 2 of 2								